

Applicants : Philip O. Livingston and Friedhelm Helling

Serial No. 08/477,147

Group Art Unit: 1642

Filed : June 7, 1995

Examiner: A. Holleran

For : GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS QS-21

Assistant Commissioner for Patents  
Washington, D.C. 20231

March 31, 2003

S I R:

Transmitted herewith is an amendment to the above identified application.

☒ Small entity status of this application under 37 C.F.R. \$1.9 and \$1.27 has been established by a verified statement previously submitted.☐ A verified statement to establish small entity status under 37 C.F.R. \$1.9 and \$1.27 is enclosed.☐ No additional fee is required.

The filing fee is calculated as follows:

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	NUMBER AFTER AMEND- MENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		NUMBER OF EXTRA CLAIMS PRESENTED		RATE					FEE	
							SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY		
Total Claims	24	-	45	=	0	X	\$9	\$18	=	0	0		
Indepen- dent Claims	5	-	4	=	1	X	\$42.00	\$84.00	=	\$42.00	0		
Multiple Dependent Claim(s) Presented _____ Yes <u>X</u> No							\$140	\$280	0	0	0		
							TOTAL ADDITIONAL FEE					\$42.00	

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Amendment Transmittal Letter  
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The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment of the number of claims as originally filed

— Please charge Deposit Account No. 03-3125 in the amount of \$           . Three copies of this sheet are enclosed.

X A check in the amount of \$ 42.00 is enclosed.  
(Additional Claim Fee)

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposition Account No. 03-3125. Three copies of this sheet are enclosed.

X Any filing fees under 37 C.F.R. \$1.16 for the presentation of extra claims.

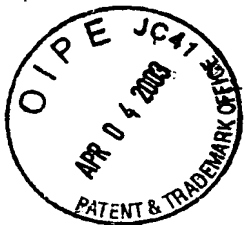
X Any patent application processing fees under 37 C.F.R. \$1.17.

Respectfully submitted,

Mark A. Farley

John P. White  
Registration No. 28,678  
Mark A. Farley  
Registration No. 33,170  
Attorneys for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

I hereby certify that this correspondence is being deposited this date with the U.S. postal Service with sufficient postage as first class mail in an envelope addressed to:	
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<u>Mark A. Farley</u> 3/31/03	
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Reg. No. 28,678	
Mark A. Farley	
Reg. No. 33,170	



Dkt. 43016-D/JPW/MAF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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1185 Avenue of the Americas  
New York, New York 10036  
March 31, 2003

Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

AMENDMENT IN RESPONSE TO DECEMBER 31, 2002 OFFICE ACTION

This Amendment is submitted in response to a December 31, 2002 Office Action issued by the United States Patent and Trademark Office in connection with the above-identified application. A response to the Office Action is due on March 31, 2003. Accordingly, this Amendment is being timely filed.

Please amend the subject application as follows:

In the Claims

Cancel claims 109-122 without prejudice or disclaimer.

Add the following new claims 123-146.

--123. (New) A composition which comprises:

- a) a conjugate comprising (i) a ganglioside derivative which comprises an unaltered oligosaccharide part and an altered ceramide portion comprising an altered

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